

ATTORNEY DOCKET NO.  
18608-P001C1



PATENT  
APPLICATION NO. 09/067,640  
JUL 20 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Stallaert, et al.

Serial No.: 09/067,640

RECEIVED

JUL 14 1999

Filing Date: April 27, 1998

Group 2700

Art Unit: 2761

Examiner: Not yet assigned

Title: APPARATUS FOR TRADING OF BUNDLED  
ASSETS INCLUDING BUNDLE  
SUBSTITUTION AND METHOD THEREFOR

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231, on the date indicated below.

Signature

TOMI ASENDORF

Printed

6-30-99

Date

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)

Pursuant to the provisions of 37 CFR §§ 1.56, 1.97 and 1.98, and MPEP 609, Applicant submits herewith copies of the patents and other documents listed below and on the attached Form PTO-1449 "Information Disclosure Citation."

**PATENTS**

**U.S. Patent Document**

5,689,652

**Applicant**

Lupien, et al.

**Date of Publication**

11-18-1997

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### FOREIGN PATENTS

Foreign Patent Document No.	Inventor	Publication Date
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### OTHER DOCUMENTS

Author	Title	Publication Date	Pages
Fan, et al.	Creating Electronic Markets	11-1998	4
Kalakota, et al.	Worldwide Real-Time Decision Support System for Electronic Commerce Applications	1996	22
R.M. Miller	On Distributing the Intelligence of Economic Processes	1986	2

### REMARKS

Consideration by the Examiner of each of the documents listed herein and on Form PTO-1449 is respectfully requested. Applicant respectfully requests that a copy of PTO-1449, as considered and initialed by the Examiner, be returned to the undersigned with the next Official Communication.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made. 37 CFR § 1.97(g). Furthermore, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in this Statement is, or is considered to be, prior art, analogous art, or material to patentability of this

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application, but the information has been cited to make it clear beyond all doubt that Applicant's duty of disclosure has been complied with. 37 CFR § 1.97(h).

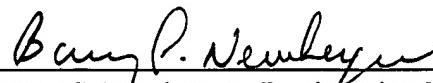
This Information Disclosure Statement is being filed within three (3) months of these references being cited in a communication from a foreign patent office in a corresponding foreign application. MPEP §609(c) and MPEP § 601(e)(1).

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith.

Respectfully submitted,

Date: \_\_\_\_\_

  
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Barry S. Newberger, Registration No. 41,527

WINSTEAD SECHREST & MINICK P.C.  
5400 Renaissance Tower  
1201 Elm Street  
Dallas, Texas 75270-2199  
Telephone: (512) 370-2808  
Facsimile: (214) 745-5390

ATTORNEYS FOR APPLICANT

::ODMA\PCDOCS\DALIAS\_1\3177252\1  
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